

Use this form to request your previous trustee/custodian to transfer all or a portion of assets from another HSA, Archer MSA, or IRA into your Associated Bank HSA Plus HSA. Complete each section, and submit the completed form as noted in step 2 below.

Please note: Transfers may take 3 to 6 weeks depending on your previous trustee/custodian's processing time.

If you have any questions about HSAs or completing this form, please contact Consumer Services at (800) 270-7719.

Transfer Process:

1. **Complete all sections of this form.**
2. **Mail your form to Associated Bank MS#7009 PO Box 19097, Green Bay WI 54307-9097, or fax to 920-405-2324.**
3. **Keep a copy of this form for your records.**

***Required Fields**

1. Consumer Information		
*Consumer Name (First, MI, Last)	*Employer Name (If sponsored by an employer plan)	
*Birth Date (MM/DD/YYYY)	*Social Security Number	*Day Telephone
*Address		
*City	*State	*Zip
Email Address		
2. Transferring Trustee/Custodian (current financial institution from which you are <i>transferring</i> HSA funds)		
*Transferring Trustee/Custodian Name	*Contact Name	
*Address		
*City	*State	*Zip
*Account Number or Identification		
3. Transfer Instructions		
3a. Originating Account Type: <input type="checkbox"/> HSA <input type="checkbox"/> Archer MSA <input type="checkbox"/> IRA		
3b. Total Amount to Transfer: <input type="checkbox"/> Entire Account Balance <input type="checkbox"/> Specific Dollar Amount \$ _____ <input type="checkbox"/> Keep my Account Open <input type="checkbox"/> Close my Account		
3c. Transfer by check, payable to "Associated Bank HSA Plus as HSA Administrator of" (Owners Name)		
3d. Mail check <u>attached to this form</u> to: Associated Bank HSA Plus PO Box 2785 Fargo, ND, 58108		

4. Important Information

Eligibility for HSA Transfer

You may only transfer funds into an Associated Bank HSA Plus HSA from an HSA, Archer MSA or IRA. You may only transfer funds if you are the consumer of the transferring HSA, Archer MSA or IRA, the surviving spouse of a deceased consumer, or the former spouse of the consumer who is receiving an interest in the HSA, Archer MSA or IRA pursuant to a divorce or separation agreement.

One-Time Transfer from a Roth or Traditional IRA to an HSA

IRA transfers count toward and are limited to your maximum HSA contribution for the year. The amount of your IRA transfer is not allowed as a deduction. Your funds will be returned in the event that the deposit amount, when added to your total cumulative year-to-date contribution, exceeds the maximum annual contribution threshold as determined by the IRS. Generally, only one transfer may be made during the lifetime of an individual.

Penalties may apply if High Deductible Health Plan (HDHP) coverage does not continue for 12 months. This transfer option does not apply to SEP or SIMPLE IRAs.

Excess Contributions

You are not permitted to transfer excess contributions from an HSA, Archer MSA or IRA to an Associated Bank HSA Plus HSA. If any portion of your transfer is or becomes an excess contribution, it is your responsibility to notify Associated Bank HSA Plus and request a withdrawal of the excess contribution together with any net income attributable thereto.

Investments

Your HSA will be invested as provided under your HSA arrangement with Associated Bank HSA Plus.

Additional Information about HSAs

See IRS Publication 969 Health Savings Accounts and other Tax Favored Health Plans, for additional information about HSAs. This publication is available free from the Internal Revenue Service and is available through the IRS Web site: www.irs.gov.

Direct Rollover

If you have already received a distribution from your HSA or IRA, you may be eligible to make a rollover into your Associated Bank HSA Plus HSA (instead of a transfer). Rollovers can be deposited into your Associated Bank HSA Plus HSA via the HSA Contribution Form.

5. Acknowledgement

I hereby acknowledge that, due to the important tax consequences relating to transferring or rolling over funds to an HSA, I have been advised to see a tax professional. State tax laws may vary, and I agree that Associated Bank HSA Plus makes no representation as to the tax effect of this transfer under state law. I also acknowledge that my decision to transfer/rollover funds to my Associated Bank HSA Plus HSA is completely voluntary. I assume the responsibility for any consequences that my beneficiaries or I may experience relating to this transfer and I agree that Associated Bank HSA Plus shall in no way be responsible for those consequences. All information provided by me is true and correct and may be relied upon by the transferring trustee or custodian and Associated Bank HSA Plus.

6. Signature

I acknowledge that I have established an HSA with Associated Bank HSA Plus and I have read and understand the Instructions, Important Information and Acknowledgement provisions that are included in this HSA Transfer Request Form.

*Consumer Signature

*Date

X _____

Authorized Signature for Associated Bank
(for office use only)

IMPORTANT INFORMATION

This Health Savings Account (HSA) is a custody account with Associated Bank serving as the custodian. Terms and conditions of the HSA are included in your HSA Plus Agreement and Debit Card Agreement. Associated Bank deposit products that are held in the HSA are FDIC insured, subject to FDIC insurance limits. FDIC insurance is determined on end-of-day ledger balances in your deposit account which may include funds deducted from your available balance for investment purchases that have not yet settled.

Non-deposit investment products, such as mutual funds, are not deposits or obligations of, or guaranteed by Associated Bank or any of its affiliates, nor are they insured by the Federal Deposit Insurance Corporation (FDIC), or any other government agency. Past returns of investment products do not guarantee future results. Mutual fund prospectuses provide detailed information about fund investment objectives and fees. Read a mutual fund's current prospectus carefully before investing. Associated Bank does not provide legal, tax, or investment advice to HSA consumers. Contact a qualified accountant, attorney or investment adviser for tax, legal or investment advice.